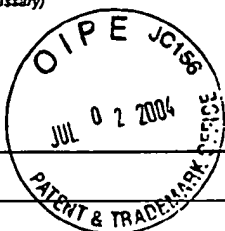


Substitute for form 1449A/PTO
**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**
 (Use as many sheets as necessary)



Sheet 1 of 2

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Applicati n Number	09/539,344
Filing Date	March 31, 2000
First Named Inventor	Ellison, Carl
Group Art Unit	Unknown — 2132
Examiner Name	Unknown — G Gershman

Attorney Docket No: 42P08098

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
G.G.	EP-EP0892521	01/20/1999	Zamek, Steven			
	EP-EP1055989	11/29/2000	Proudlar, Graeme J., et al.			
	EP-EP1056014	11/29/2000	Proudlar, Graeme J., et al.			
	WO-WO0201794	01/03/2002	Ellison, Carl			
	WO-WO02060121	08/01/2002	Grawrock, David W.			
	WO-WO03058412	07/17/2003	Glew, Andrew , et al.			
	WO-WO9812620	03/26/1998	Nishiuchi, Taiki , et al.			
	WO-WO9918511	04/15/1999	Edrich, David R.			

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
G.G.		COULOURIS, GEORGE , et al., "Distributed Systems, Concepts and Designs", 2nd Edition, (1994),422-424	
		CRAWFORD, JOHN , "Architecture of the Intel 80386", <u>Proceedings of the IEEE International Conference on Computer Design: VLSI in Computers and Processors (ICCD '86)</u> , (October 6, 1986),155-160	
		FABRY, R.S. , "Capability-Based Addressing", <u>Fabry, R.S., "Capability-Based Addressing," Communications of the ACM, Vol. 17, No. 7, (July 1974),403-412</u>	
		FRIEDER, GIDEON , "The Architecture And Operational Characteristics of the VMX Host Machine", <u>The Architecture And Operational Characteristics of the VMX Host Machine, IEEE, (1982),9-16</u>	
		INTEL CORPORATION, "IA-64 System Abstraction Layer Specification", <u>Intel Product Specification, Order Number 245359-001, (01/2000),1-112</u>	
		INTEL CORPORATION, "Intel IA-64 Architecture Software Developer's Manual", <u>Volume 2: IA-64 System Architecture, Order Number 245318-001, (01/2000),i, ii, 5.1-5.3, 11.1-11.8, 11.23-11.26</u>	
		MENEZES, ALFRED J., et al., "Handbook of Applied Cryptography", <u>CRC Press Series on Discrete Mathematics and its Applications, Boca Raton, FL, XP002165287, ISBN 0849385237,(Oct. 1996),403-405, 506-515, 570</u>	
		NANBA, S. , et al., "VM/4: ACOS-4 Virtual Machine Architecture", <u>VM/4: ACOS-4 Virtual Machine Architecture, IEEE, (1985),171-178</u>	
		RSA SECURITY, "Hardware Authenticators", <u>www.rsasecurity.com/node.asp?id=1158, 1-2</u>	
		RSA SECURITY, "RSA SecurID Authenticators", <u>www.rsasecurity.com/products/securid/datasheets/SID_DS_0103.pdf, 1-2</u>	
		RSA SECURITY, "Software Authenticators", <u>www.rsasecurity.com/node.asp?id=1313, 1-2</u>	

EXAMINER

G. Gershman

DATE CONSIDERED

07/19/2005

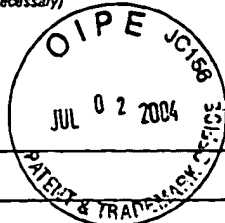
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) : Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number 09/539,344

Filing Date March 31, 2000

First Named Inventor Ellison, Carl

Group Art Unit ~~Unknown~~ 2132Examiner Name ~~Unknown~~ 6 Gurskman

Sheet 2 of 2

Attorney Docket No: 42P08098

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		SCHNEIER, BRUCE, "Applied Cryptography: Protocols, Algorithm, and Source Code in C", Wiley, John & Sons, Inc., XP002939871; ISBN 0471117099, (Oct. 1995), 47-52	
		SCHNEIER, BRUCE, "Applied Cryptography: Protocols, Algorithm, and Source Code in C", Wiley, John & Sons, Inc., XP002138607; ISBN 0471117099, (Oct. 1995), 56-65	
		SCHNEIER, BRUCE, "Applied Cryptography: Protocols, Algorithms, and Source Code C", Wiley, John & Sons, Inc., XP0021111449; ISBN 0471117099, (Oct. 1995), 169-187	
		SCHNEIER, BRUCE, "Applied Cryptography: Protocols, Algorithms, and Source Code in C", 2nd Edition; Wiley, John & Sons, Inc., XP002251738; ISBN 0471128457, (Nov. 1995), 28-33; 176-177; 216-217; 461-473; 518-522	

EXAMINER

DATE CONSIDERED

01/18/2005

Substitute Disclosure Statement Form (PTO-1449)


* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Managing a Secure Environment Using a Hierarchical Executive Architecture in Isolated Execution Mode							
Application Number:		09/539344						
Confirmation Number:		1432						
First Named Applicant:		Carl Ellison						
Attorney Docket Number:		42P08098						
Art Unit:		Unknown 2132			RECEIVED			
Examiner:		Unknown Unknown 6 GURSHAN			JUL 02 2004			
Search string:		(3699532 or 4319233 or 4403283 or 5237616 or 5287363 or 5560013 or 5604805 or 5633929 or 5668971 or 5684948 or 5706469 or 5740178 or 5752046 or 5825880 or 5919257 or 5935242 or 5935247 or 6035374 or 6093213 or 6108644 or 6131166 or 6199152 or 6327652 or 6397379 or 6529909 or 6560627 or 6609199 or 6615278 or 6651171 or 6684326),pn.						
Technology Center 2100								
US Patent Documents								
Note: Applicant is not required to submit a paper copy of cited US Patent Documents								
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	
	1	3699532	1972-10-17	Schaffer, Harry G., et al.				
	2	4319233	1982-03-09	Matsuoka, Michihiro , et al.				
	3	4403283	1983-09-06	Myntti, Jon N., et al.				
	4	5237616	1993-08-17	Abraham, Dennis G., et al.				
	5	5287363	1994-02-15	Wolf, Paul I., et al.				
	6	5560013	1996-09-24	Scalzi, Casper A., et al.				
	7	5604805	1997-02-18	Brands, Stefanus A.				
	8	5633929	1997-05-27	Kaliski, Jr., Burton S.				
	9	5668971	1997-11-16	Neufeld, E. D.				
	10	5684948	1997-11-04	Johnson, James S., et al.				
	11	5706469	1998-01-06	Kobayashi, Souichi				
	12	5740178	1998-04-14	Jacks, Steven A., et al.				

APP_ID=09539344

BEST AVAILABLE COPY

Page 1 of 2

Handwritten signature

12 1/18/2005

13	5752046	1998-05-12	Oprescu, Florin , et al.
14	5825880	1998-10-20	Sudia, Frank W., et al.
15	5919257	1999-07-06	Trostle, Jonathan
16	5935242	1999-08-10	Madany, Peter W., et al.
17	5935247	1999-08-10	Pai, Hsin-Ying , et al.
18	6035374	2000-03-07	Panwar, Ramesh , et al.
19	6093213	2000-07-25	Favor, John G., et al.
20	6108644	2000-08-22	Goldschlag, David M., et al.
21	6131166	2000-10-10	Wong-Isley, Becky
22	6199152	2001-03-06	Kelly, Edmund J., et al.
23	6327652	2001-12-04	England, Paul , et al.
24	6397379	2002-05-28	Yates, Jr., John S., et al.
25	6529909	2003-03-04	Bowman-Amuah, Michel K.
26	6560627	2003-05-06	McDonald, Michael F., et al.
27	6609199	2003-08-19	DeTreville, John
28	6615278	2003-09-02	Curtis, Bryce A.
29	6651171	2003-11-18	England, Paul , et al.
30	6684326	2004-01-27	Cromer, Daryl C., et al.

Signature

Examiner Name	Date
<i>[Signature]</i>	1/18/2005

BEST AVAILABLE COPY

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1
-------	---	----	---

Complete if Known

Application Number	09/539,344
Filing Date	March 31, 2000
First Named Inventor	Carl M. Ellison
Art Unit	2132
Examiner Name	G. Gurshman
Attorney Docket Number	42390P8098

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date	
Considered	

1/19/2005

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafran (wtr) 08/11/2003.

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450